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Appn No. 10/533,069

Docket No. P1982R1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

<p>In re Application of</p> <p>Sudeepta Aggarwal et al.</p> <p>Application No.: 10/533,069</p> <p>Filed: April 28, 2005</p> <p>For: Compositions and Methods for the Treatment of Immune Related Diseases</p>	<p>Group Art Unit: 1646</p> <p>Examiner: To be Assigned</p> <p>Confirmation No.: 5360</p> <p>Customer No.: 09157</p> <hr/> <p style="text-align: center;">CERTIFICATE OF MAILING</p> <p>I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on</p> <p style="text-align: right;">March 31, 2006</p> <p style="text-align: right;"><i>Barbara Hebert</i></p> <p style="text-align: right;">Barbara Hebert</p>
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RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

Box Missing Parts
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

This is responsive to the Notification of Missing Requirements mailed January 3, 2006. Transmitted herewith are the following documents:

1. Sequence Listing on two identical computer-readable CD-R(s) formatted for IBM-PC, MS-Windows operating system (COPY 1, containing a file entitled "Sequence Listing P1982R1" created 03/14/2006 with a byte size of 8 MB and COPY 2, containing a file entitled "Sequence Listing P1982R1" created 03/31/2006 with a byte size of 8 MB);

2. Preliminary Amendment;
3. Combined Declaration and Power of Attorney duly executed;
4. Petition to Accept Application Under 37 CFR 1.47(a) and Petition Fee under 37 CFR 1.17;
5. Decision on Petition Under 37 CFR 1.425 granted in PCT/US03/34381;
6. Declaration of Barbara Hebert;
7. Copy of Notice of Missing Requirements Under 35 USC 371;
8. Petition and Fee for Extension of Time (in duplicate);
9. Deposit Account Authorization for additional fees; and
10. Return Post Card.

The Commissioner is hereby authorized to deduct the appropriate surcharge fee and any additional fees associated with this communication or credit any overpayment to Deposit Account No. 07-0630. A duplicate of this sheet is enclosed.

Respectfully submitted,

GENENTECH, INC.

Date: March 31, 2006

By: 

David A. Carpenter, Ph.D.

Reg. No. 45,945

Telephone No. (650) 225-3733



UNITED STATES PATENT AND TRADEMARK OFFICE

DACEC

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
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www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/533,069	FIRST NAMED APPLICANT Sudepta Aggarwal	ATTY. DOCKET NO. P1982R1
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INTERNATIONAL APPLICATION NO. PCT/US03/34381

9157
GENENTECH, INC.
1 DNA WAY
SOUTH SAN FRANCISCO, CA 94080

RECEIVED

JAN 09 2006

I.A. FILING DATE 10/28/2003	PRIORITY DATE 10/29/2002
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CALENDAR/EC

3 March 2006-TJL

28 JUL 2006

CONFIRMATION NO. 5360

371 FORMALITIES LETTER



OC000000017760142

Date Mailed: 01/03/2006

DUE DATE

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 04/28/2005
- Information Disclosure Statements filed on 09/08/2005
- U.S. Basic National Fees filed on 04/28/2005
- Priority Documents filed on 04/28/2005
- Specification filed on 04/28/2005
- Claims filed on 04/28/2005
- Abstracts filed on 04/28/2005
- Drawings filed on 04/28/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- **\$130 Surcharge.**

- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", **as well as an amendment specifically directing its entry into the application.** Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

Additionally the following defects have been observed:

- The International Search Report has not be supplied, the Search Fee has been adjusted to reflect this omission.

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- **For Rules Interpretation, call (571) 272-0951**
- **For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.**
- **Send e-mail correspondence for Patentin Software Program Help @ ebc@uspto.gov**

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

KAREN R MCLEAN

Telephone: (703) 308-9140 EXT 214

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/533,069	PCT/US03/34381	P1982R1

FORM PCT/DO/EO/905 (371 Formalities Notice)